

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)
) Group Art Unit To Be Assigned
Tsugita and Maahs)
) Examiner: To Be Assigned
Serial No.: **To Be Assigned**)
)
Filed: **On Even Date Herewith**)
)
For: **BALLOON OCCLUSION DEVICE**)
AND METHODS OF USE)

JC542 U.S. PTO
09/467293
12/17/99

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO-1449 provides a listing of documents which may be relevant to the subject application. It is requested that the Examiner fully consider the art cited in the accompanying Form 1449, initial the left-most column of the form adjacent each cited reference, and return a copy for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

In accordance with §1.97(b), this Information Disclosure Statement is being filed within three months of the filing date of the above-identified application, and therefore no fee is required.

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL496952457US
Express Mail Label No.

December 17, 1999
Date of Deposit

Frances E. Thurson
Name of Person Mailing Paper

Frances E. Thurson
Signature of Person Mailing Paper

In accordance with §1.98(d), copies of some or all of the references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the Patent and Trademark Office in prior Application Serial No. 09/387,634 or in 08/993,202, for which a claim for priority under 35 U.S.C. §120 has been made in the instant application. Accordingly, Applicants will provide duplicate copies in respect of the present case only if the Examiner so desires.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references. Please charge any deficiency or credit any overpayment to Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: December 17, 1999

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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 245/246	SERIAL NO. To Be Assigned
	APPLICANT: Tsugita et al.	
	FILING DATE: Submitted herewith	GROUP: To Be Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	4,723,549	02/88	Wholey et al.	128	344	
	AB	4,873,978	10/89	Ginsburg	128	345	
	AC	5,053,008	10/91	Bajaj	604	104	
	AD	5,312,344	05/94	Grinfeld et al.	604	101	
	AE	5,370,685	12/94	Stevens	623	2	
	AF	5,425,708	06/95	Nasu	604	96	
	AG	5,599,329	02/97	Gabbay	604	284	
	AH	5,820,593	10/98	Safar et al.	604	96	
	AI	5,928,192	07/99	Maahs	604	96	
	AJ						
	AK						
	AL						
	AM						

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	AN	0218275	04/87	EPO			
	AO						
	AP						
	AQ						
	AR						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	AS	
	AT	
	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	

EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant